JC03 Rec'd PCT/PTO 08 JUN 2009

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)		1175/74552
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.STARPLICATEGNARO/(Interiors, 216 37 CFR 1.5)
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/DK2003/00883	16 December 2003	20 December 2002
A METHOD OF OPERATING A WIND TURBINE		
APPLICANT(S) FOR DO/EO/US		
Peter GRABAU Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the tollowing items and other information:		
This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.		
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.		
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. X The US has been elected (Article 31).		
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))		
a. X is attached hereto (required only if not communicated by the International Bureau).		
b. X has been communicated by the International Bureau.		
c. is not required, as the application was filed in the United States Receiving Office (RO/US).		
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).		
a. is attached hereto.		
b. has been previously submitted under 35 U.S.C. 154(d)(4).		
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
a. are attached hereto (required only if not communicated by the International Bureau).		
b. have been communicated by the International Bureau.		
c. have not been made; however, the time limit for making such amendments has NOT expired.		
d. X have not been made and will not be made.		
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	the annexes of the International Preliminar	y Examination Report under PC1
Items 11 to 20 below concern document(s) or information included:		
11. X. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. X A preliminary amendment.		
14. X An Application Data Sheet under 37 CFR 1.76.		
15. A substitute specification.		
16. X A power of attorney and/or change of address letter.		
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.		
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		
20. Other items or information:		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, use the complete of the USPTO. Time will vary depending upon the individual case. Any comments on the amount including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# JC20 Rec'd PCT/PTO 08 JUN 2005

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PTO-1390 (Rev. 02-2005)

ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. PCT/DK2003/00883 1175/74552 PTO USE ONLY CALCULATIONS The following fees have been submitted Basic national fee......\$300 300 21. 🔀 Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of \$ 200 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an 400 All other situations.....\$500 \$ 900 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE Extra Sheets **Total Sheets** thereof (round up to a whole number) 0 x \$250 /50\_= - 100 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest 0 claimed priority date (37 CFR 1.492(h)). RATE NUMBER EXTRA NUMBER FILED 0 **CLAIMS** x \$50 0 - 20 = Total claims x \$200 \$ 0 - 3 = Independent claims \$ 0 \$360 MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = 900 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 0 0 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). \$ TOTAL NATIONAL FEE = 900 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 40 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 940 \$ Amount to be \$ refunded: Amount to be charged: to cover the above fees is enclosed. a. X A check in the amount of \$ 940.00 \_ to cover the above fees. in the amount of \$\_ Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit с. 🗶 Account No. <u>03-3125</u>. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Donald S. Dowden Cooper & Dunham LLP Donald S. Dowden 1185 Avenue of the Americas NAME New York, New York 10036 20,701 REGISTRATION NUMBER

Page 2 of 2

PATENT

## JC20 Rec'd PCT/PTO 08 JUN 2005 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

: Peter GRABAU

Serial No.

: Not yet assigned

Filed

: Herewith

For

METHOD OF OPERATING A WIND TURBINE

Int'l Appl. No.

PCT/DK2003/00883

PCT Filed

16 December 2003

Priority

Date Claimed

: 20 December 2002

COOPER & DUNHAM LLP 1185 Avenue of the Americas New York, New York 10036 (212) 278-0400

June 8, 2005

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **CUSTOMER IDENTIFICATION NUMBER**

SIR:

Our firm's customer identification number, which is not indicated in the attached

Declaration and Power of Attorney, is 23432.

Please direct correspondence to:

Donald S. Dowden Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036 (212) 278-0400

Respectfully submitted,

COOPER & DUNHAM LLP

Donald S. Dowden Reg. No. 20,701

DSD/at



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SIR:

### EXPRESS MAIL CERTIFICATE OF MAILING FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: \_EV553658711US

Date of Deposit: \_\_\_June 8, 2005 .

I hereby certify that the above-identified national stage application under 35 U.S.C. 371, including the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450: Express Mail Certificate bearing Express Mail Label EV553658711US; Transmittal letter, in triplicate; 11-page specification including abstract; Figures 1-2, arranged on 1 sheet; a copy of the PCT request form (form PCT/RO/101); a copy of the specification as originally filed; a copy of notification concerning submission or transmittal of priority document (form PCT/IB/304); a copy of a Notice informing the applicant of the communication of the international application to the designated offices (form PCT/IB/308); a copy of an Information concerning elected offices notified of their election (form PCT/IB/332); a copy of a PCT Demand under Art. 31 (form PCT/IPEA/401) submitted 6 July 2004 with no amended pages; a copy of the International Application as published on 8 July 2004 under International Publication No. WO 2004/057182A1, including the international Search Report; a copy of an international Preliminary Examination Report (form PCT/IPEA/409); Information Disclosure Statement and three references; Declaration and Power of Attorney (executed); Assignment (executed) and form PTO-1595; Preliminary Amendment; check in the amount of \$940.00; and Return Postcard.

inted Name:

Respectfully submitted,

Donald S. Dowden
Registration No. 20,701
Attorney for Applicant
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036

(212) 278-0400

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June 3, 2005

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### AN APPLICATION DATA SHEET under 37 CFR 1.76

#### SIR:

We are submitting herewith the following documents:

- 1. Transmittal letter, in triplicate;
- 2. Eleven page specification including abstract;
- 3. Figures 1-2, arranged on 1 sheet;
- 4. A copy of the PCT request form (form PCT/RO/101);
- 5. A copy of the specification as originally filed;
- A copy of notification concerning submission or transmittal of priority document (form PCT/IB/304);
- 7. A copy of a Notice informing the applicant of the communication of the international application to the designated offices (form PCT/IB/308);
- 8. A copy of an Information concerning elected offices notified of their election (form PCT/IB/332);
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- 15. Preliminary Amendment;
- 16. Check in the amount of \$940.00; and
- 17. Return Postcard.

Respectfully submitted,

Donald S. Dowden Registration No. 20,701 Attorney for Applicant

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